AERO SERVICES CREDIT UNION CO-OPERATIVE SOCIETY LIMITED
POLITICALLY EXPOSED PERSON (PEP) DECLARATION

(PLEASE COMPLETE IN BLOCK LETTERS)

In accordance with Regulation 20 of the Financial Obligations Regulations 2010 as amended, a Politically Exposed Person (PEP) refers to an individual who is or has been entrusted with a prominent public function in Trinidad and Tobago or a foreign country.

You are required to:

1. Select the PEP category below by ticking the appropriate box (see back of this Declaration for definitions).
2. Complete the Source of Wealth Declaration.
3. Declare and confirm your PEP status by affixing your name, signature and date in the designated area below.

Domestic or Foreign PEP (please tick the appropriate category and indicate your specific job title below):

[ ] Head of State
[ ] Senior Politician
[ ] Military Official
[ ] Head of Government
[ ] Senior Government Official
[ ] Senior Executive of a State-Owned Board
[ ] Senior Member of the Legislature
[ ] Senior Judicial Official
[ ] Important Political Party Official

Job Title: _____

N.B. This list is not exhaustive.

International Organization PEP (please tick the appropriate category and indicate your specific job title below):

[ ] United Nations and affiliated international organizations
[ ] Inter-American Development Bank
[ ] Caribbean Financial Action Task Force
[ ] Organization of American States
[ ] International Labour Organization
[ ] Other: _____

N.B. This list is not exhaustive.

If you are an Immediate Family Member of a PEP, please tick the appropriate category that best describes your relationship to the PEP:

[ ] Spouse/Ex-Spouse [ ] Parent [ ] Sibling [ ] Child

If you are a Close Associate of a PEP:

Please indicate relationship to the PEP named below: _____

PEP Name: _____

Source of Wealth Declaration:

CURRENT ASSETS	**$**	**CURRENT LIABILITIES**	**$**
Cash on Hand/Bank		Loans => 5yrs	
Stocks, Bonds, etc.		Short term Loans	
Real Estate (Market Value)		Mortgage Loans	
Motor Vehicles (Market Value)		Credit Cards	
Household (Furniture/Fixtures, Computer, etc.)		Hire Purchase	
Other Assets (Life Insurance, etc.)		Other	
Total Assets	**$**	**Total Liabilities**	**$**

NET WORTH (Total Assets – Total Liabilities) = $_____ DEFICIT (Total Liabilities – Total Assets) = $_____

Has any financial institution ever refused to allow you to open an account? [ ] Yes [ ] No

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I hereby certify that the above information is true and correct as at the application date and confirm that I am a Politically Exposed Person (PEP).

Name: _____ Signature: _____

Date: _____/_____/_____
DD MM YYYY

OFFICIAL USE ONLY

Witnessed by:

ASCU Officer's Name: _____ ASCU Officer's Name: _____

ASCU Officer's Signature: _____ ASCU Officer's Signature: _____

Date: _____/_____/_____ Date: _____/_____/_____
DD MM YYYY DD MM YYYY

DEFINITIONS

Domestic PEP – an individual who is or has been entrusted with a prominent public function within Trinidad and Tobago
Includes but is not limited to:

- **Head of State** - President of the Republic of Trinidad and Tobago
- **Head of Government** - Prime Minister of the Republic of Trinidad and Tobago
- **Senior Members of the Legislature** - Speaker of the House of Representatives and President of the Senate
- **Senior Politicians** - Parliament Members (national, local or Tobago House of Assembly elections), Senators, Aldermen, Government Ministers, Leader of the Opposition, Mayors, Assemblymen, Chief Secretary, Deputy Chief Secretary, Parliament Secretaries
- **Senior Government Official** - Permanent Secretaries, Chief Technical Officers, Ambassadors or High Commissioners, Assistant Commissioner of Police or higher rank, any other person appointed as an Accounting Officer under the Exchequer and Audit Act
- **Senior Judicial Official** - Chief Justice, Judges of the Supreme Court (High Court and Appeal Judges), Judges of the Industrial Court, Judges of the Caribbean Court of Justice, Masters, Chief Magistrate, Deputy Chief Magistrate, Magistrates, Registrar, Deputy Registrar, Assistant Registrar
- **Military Officials** – Senior Defence Force Officials including Major General, Brigadier General, Colonel, Lieutenant-Colonel. Senior Air Guard Officials including Group Captain, Wing Commander, Squadron Leader. Senior Coast Guard Officials including Rear Admiral, Commodore, Captain and Commander
- **Senior Executives of State-Owned Corporations** – statutory bodies, state enterprises and entities where the State holds a controlling interest. Includes the Chairman, Deputy Chairman, President, Vice-President of the Board of Directors, Managing Director, General Manager, Comptroller, Secretary or Treasurer, or any other person who performs similar functions to those normally performed by the office holder duly appointed to perform those functions
- **Important Political Party Officials** – Chairman, Deputy Chairman, Political Leader, Deputy Political Leader, Secretary and Treasurer of a political party registered under the Representation of the People Act Chap 2:01

Foreign PEP – an individual who is or has been entrusted with a prominent public function in a foreign country holding equivalent positions as those listed for Domestic PEPs

Immediate Family Member of a PEP – Spouse (including common-law or ex-spouse), Parent, Sibling, Children (biological, adopted or step).

Close personal or professional associate of a PEP - Girlfriend, boyfriend or other publicly or actually known person who is in a romantic relationship with a PEP, business partner with, or who beneficially owns or controls a business with a PEP, a person involved in financial transactions with a PEP, a prominent member of the same political party as a PEP, or a person closely carrying out charitable works with a PEP

International Organization PEP – an individual who is or has been entrusted with a prominent function by an international organization and refers to members of senior management or individuals who have been entrusted with equivalent functions of senior management, such as directors, deputy directors and board members